

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

IN THE MARION CIRCUIT COURT

AVC NO. 00 AVC 005

FILED

JAN 25 2003

Jan A. M. Taylor
CLERK OF THE
MARION CIRCUIT COURT

IN RE: WARFIELD & WALSH, INC.,)

Respondent.)

ASSURANCE OF VOLUNTARY COMPLIANCE

The State of Indiana, by Attorney General Jeffrey A. Modisett and Deputy Attorney General Janine M. Clements, and Respondent, Warfield & Walsh, Inc., pursuant to Indiana Code §23-7-8-9, enter into an Assurance of Voluntary Compliance (Assurance). Respondent agrees to enter into this Assurance as a compromise settlement of disputed issues of fact and without admitting any violation of law. It is acknowledged that violation of this Assurance constitutes prima facie evidence of a violation of Ind. Code §23-7-8-1 et seq.

It is therefore agreed that:

1. Respondent has its principal place of business at 701 Prince Street, Alexandria, Virginia, 22314, and has engaged in charitable solicitations in the State of Indiana.
2. Respondent acknowledges and admits its responsibility for acts, practices, and methods employed by its employees, agents and representatives within the scope of their employment in the solicitation of charitable contributions.
3. Respondent agrees that it shall not act as a professional fundraiser consultant or a professional solicitor in the State of Indiana on behalf of any charitable organization or solicit charitable contributions from Indiana residents or businesses unless it is registered with the Consumer Protection Division of the Indiana Attorney General's Office as required by Ind. Code §23-7-8-2.
4. Respondent agrees, pursuant to Ind. Code §23-7-8-2(f), that Respondent will provide the Attorney General's Office with a notice filing, including a copy of the contract between Respondent and the charitable organization, prior to beginning any solicitation for such charitable organization.

5. Respondent agrees, pursuant to Ind. Code §23-7-8-2(d), that Respondent will provide the Attorney General's Office with a copy of the contract between Respondent and the charitable organization, before acting as a professional fundraiser consultant for such charitable organization.

6. Respondent, in acting as a professional fundraiser consultant or soliciting charitable contributions, agrees to comply in all aspects with the Professional Fundraiser Consultant and Solicitor Registration Act, Ind. Code §23-7-8 et seq.

7. Respondent, in soliciting and/or contracting with consumers, agrees to comply in all aspects with the Deceptive Consumer Sales Act, Ind. Code §24-5-0.5-1 et seq.

8. Respondent agrees to reimburse the Consumer Protection Division of the Office of Attorney General for its reasonable costs of investigating this matter in the amount of Five Hundred ^{300.00} Dollars (~~\$500.00~~), payable to the Office of Attorney General upon execution of this Assurance and return of this Assurance to the Attorney General's Office.

9. Respondent agrees to fully cooperate with the Office of Attorney General in the resolution of any future complaints received by the Consumer Protection Division.

10. This Assurance does not constitute an approval by the Attorney General of any of Respondent's past or future business practices, and Respondent shall not make any representations to the contrary.

11. This Assurance of Voluntary Compliance is to be filed by the Office of Attorney General with the Circuit Court of Marion County.

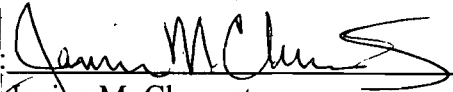
IN WITNESS THEREOF, the parties hereto have executed this Assurance this 25th day of

January, 2000.

STATE OF INDIANA

JEFFREY MODISETT
Attorney General of Indiana

By:



Janine M. Clements

Deputy Attorney General

Atty. No. 20064-32

402 W. Washington Street

Indiana Government Center South, 5th Floor

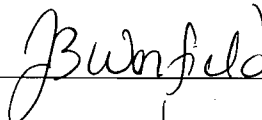
Indianapolis, IN 46204-2794

Telephone: (317) 233-3973

RESPONDENT

WARFIELD & WALSH, INC.,

By:

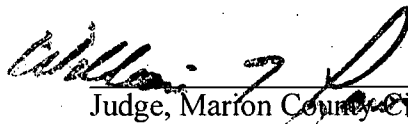


Printed Name: Joanna B. Warfield

Title:

President

ORDERED AND APPROVED this _____ day of JAN 25, 2000.



Judge, Marion County Circuit Court